

ACA Annual Ethic Report - Fiscal Year 2017

I. Definitions

Inquiries are defined as any occasion in which a member contacts the office of ethics to obtain clarity about any issue pertaining to the ACA Code of Ethics.

Complaints are defined as any occasion in which either a member or consumer submits a complaint form to the office of ethics to formally file a grievance against a counselor who is suspected of having violated one or more portions of the code of ethics.

Sanctions are defined as the penalties applied to any member who is found guilty of having violated one or more portions of the code of ethics. The penalties may include suspension or revocation of one's membership.

Fiscal Year (FY) is defined as the 12 month period beginning July 1st of each year and ending June 30th of the following calendar year. This document covers the 12 month period starting July 1, 2016 and ending June, 30th 2017.

II. Inquiries

Approximate number of total inquiries FY '17: 1,807

III. Complaint Tally FY '17:

- # of Complaints: 5
- # Accepted for Adjudication: 1
- # of Adjudications held: 1
- #of Appeals: 0
- # of cases in which Accused found guilty of ethical violations: 1

IV. Sanctions imposed

Member Gregory Banks of Danbury, CT was given remedial sanctions.

The case in which Mr. Banks was found guilty involved violations of the following sections of the 2014 ACA *Code of Ethics*:

- Standards C.4.a. Accurate Representation
- C.6.d Exploitation of Others